

Enhanced Competency Framework (ECF) on Operational Risk Management (Core & Professional Level) Training and Examination Schedule –2024

Important Notes:

1. HKIB is appointed as the Professional Qualifications Assessment Agency effective from 1 August 2020.
2. Learners who pass the examination under Assessment Agencies of Professional Qualifications with relevant work experience will be eligible to pursue the **QF-recognised Professional Qualifications** (i.e. AORP*/CORP#).

For more details about Assessment Agencies for Professional Qualifications and Learning Programme Accreditation, please refer to [accreditation page](#) under HKIB website for reference.

* Recognised under the QF at Level 4, QR Registration No: 21/001159/L4, Validity Period from 01/11/2021 to 31/07/2025

Recognised under the QF at Level 5, QR Registration No: 21/001160/L5, Validity Period from 01/11/2021 to 31/07/2025

Training: Q1 – Q4 2024



- HKIB CPD¹



- SFC CPT



- FLEX Learning²

Module Name	Module No.	Code	Date	Time	Language (supplemented with English materials)	Application Deadline ⁴	Fee ^{5,6} (HKD)
Ethics and Corporate Governance in Banking Industry ³ (Credit: 10)	1	HEOR01P24011 FLEX Learning – Virtual Classroom	20 Jan 2024 (Sat) 27 Jan 2024 (Sat) 3 Feb 2024 (Sat)	10:00 – 13:00; 14:00 – 17:00 on 20 & 27 Jan 10:00 – 13:00 on 3 Feb	Cantonese	CLOSED	
		HEOR01P24041 FLEX Learning – Virtual Classroom	11 Apr 2024 (Thu) 18 Apr 2024 (Thu) 25 Apr 2024 (Thu) 2 May 2024 (Thu) 6 May 2024 (Mon) 9 May 2024 (Thu)	19:00 – 22:00	English	25 Mar 2024 (Mon)	4,050
		HEOR01P24081 FLEX Learning – Virtual Classroom	7 Aug 2024 (Wed) 14 Aug 2024 (Wed) 21 Aug 2024 (Wed) 28 Aug 2024 (Wed) 4 Sep 2024 (Wed)	19:00 – 22:00	Cantonese	24 Jul 2024 (Wed)	4,050
Regulatory Framework and Compliance in Banking Industry ³ (Credit: 10)	2	HEOR02P24021 FLEX Learning – Virtual Classroom	24 Feb 2024 (Sat) 2 Mar 2024 (Sat) 9 Mar 2024 (Sat)	10:00 – 13:00; 14:00 – 17:00 on 24 Feb & 02 Mar 10:00 – 13:00 on 9 Mar	Cantonese	CLOSED	
		HEOR02P24051 FLEX Learning – Virtual Classroom	16 May 2024 (Thu) 23 May 2024 (Thu) 30 May 2024 (Thu) 6 Jun 2024 (Thu) 13 Jun 2024 (Thu)	19:00 – 22:00	English	30 Apr 2024 (Tue)	4,050
		HEOR02P24091 FLEX Learning – Virtual Classroom	25 Sep 2024 (Wed) 2 Oct 2024 (Wed) 9 Oct 2024 (Wed) 16 Oct 2024 (Wed) 23 Oct 2024 (Wed)	19:00 – 22:00	Cantonese	11 Sep 2024 (Wed)	4,050
Fundamentals of Operational Risk Management and Risk Governance (Credit: 20)	3	HEOR03P24071 FLEX Learning – Virtual Classroom	13 Jul 2024 (Sat) 20 Jul 2024 (Sat) 27 Jul 2024 (Sat)	10:00 – 13:00; 14:00 – 17:00 on 13 & 20 Jul 10:00 – 13:00 on 27 Jul	Cantonese	28 Jun 2024 (Fri)	4,050
		HEOR03P24111 FLEX Learning – Virtual Classroom	21 Nov 2024 (Thu) 28 Nov 2024 (Thu) 5 Dec 2024 (Thu) 12 Dec 2024 (Thu) 19 Dec 2024 (Thu)	19:00 – 22:00	English	7 Nov 2024 (Thu)	4,050
Advanced Operational Risk Management (Credit: 30)	4	HEOR04P24031 FLEX Learning – Virtual Classroom	12 Mar 2024 (Tue) 19 Mar 2024 (Tue) 27 Mar 2024 (Wed) 2 Apr 2024 (Tue) 9 Apr 2024 (Tue) 17 Apr 2024 (Wed) 24 Apr 2024 (Wed)	19:00 – 22:00	English	27 Feb 2024 (Tue)	6,500
		HEOR04P24091 Face-to-face Training	7 Sep 2024 (Sat) 14 Sep 2024 (Sat) 21 Sep 2024 (Sat) 24 Sep 2024 (Sat)	10:00 – 13:00; 14:00 – 17:00 on 07, 14 & 21 Sep 10:00 – 13:00 on 24 Sep	Cantonese	26 Aug 2024 (Mon)	6,500

Examination: Q1 – Q4 2024

In general, the candidates need around 2 months self-studying time to prepare for the examination. However, you may select the most appropriate examination session according to your competence level and learning plan.

Module Name	Module No.	Code	Date	Time	Application Deadline ⁴	Fee (HKD) ⁶
Ethics and Corporate Governance in Banking Industry (Credit: 10)	1	EXAM-2022-10387A	12 Mar 2024 (Tue)	19:00 – 20:30	CLOSED	
		EXAM-2022-10343A	11 Jun 2024 (Tue)	19:00 – 20:30	10 May 2024 (Fri)	580
		EXAM-2022-10365A	28 Nov 2024 (Thu)	19:00 - 20:30	28 Oct 2024 (Mon)	580
Regulatory Framework and Compliance in Banking Industry (Credit: 10)	2	EXAM-2022-10121A	4 Jan 2024 (Thu)	19:00 – 20:30	CLOSED	
		EXAM-2022-10388A	16 Apr 2024 (Tue)	19:00 – 20:30	15 Mar 2023 (Fri)	580
		EXAM-2022-10347A	16 Jul 2024 (Tue)	19:00 – 20:30	14 Jun 2024 (Fri)	580
		EXAM-2022-10366A	17 Dec 2024 (Tue)	19:00 – 20:30	18 Nov 2024 (Mon)	580
Fundamentals of Operational Risk Management and Risk Governance (Credit: 20)	3	EXAM-2022-10124	11 Jan 2024 (Thu)	19:00 – 21:30	CLOSED	
		EXAM-2022-10355	27 Aug 2024 (Tue)	19:00 – 21:30	29 Jul 2024 (Mon)	1,150
Advanced Operational Risk Management (Credit: 30)	4	EXAM-2022-10342	4 Jun 2024 (Tue)	19:00 – 22:00	3 May 2024 (Fri)	1,400
		EXAM-2022-10371	5 Dec 2024 (Thu)	19:00 – 22:00	5 Nov 2024 (Tue)	1,400

Special Note: Learners are required to complete the training before attempting the examination.

Programme Details:

Programme/
Module Outline : Please refer to programme brochure and handbook for details.

Venue: : 3/F Guangdong Investment Tower, 148 Connaught Road Central, Hong Kong (Sheung Wan MTR Station Exit C) (unless other venue specified in training class confirmation / examination attendance notice)

Notes:

Note	Category	Details
1	CPD	By attending the above training, Professional Qualification holders of AAMLPC, CAMLP, ARWP, CRWP, ACsP, AORP, CORP, ACOP, CCOP(GC), CCOP(IIC), ACRP, CCRP(CL), CCRP(CPM), CPFInT(A), CPFInT(M), CPFInT(S-AIBD), CPFInT(S-DLT), CPFInT(S-OBAPI) and CPFInT(S-RT), CB (Stage I), CB (Stage II), CB, and CFMP are eligible to claim HKIB CPD hours.
2	FLEX Learning	The training sessions will be conducted via virtual classroom training delivery mode. Participants can use their own PC or mobile device, and do not need to come to our premises to attend the training.
3	Module Content	Module 1 and Module 2 are identical for both ECF-ORM and ECF-Compliance. Hence, an RP who has completed Module 1 and/or Module 2 under either of these ECF streams will not be required to complete the same module(s) under the other ECF stream. For example, if you have already completed ECF-ORM Module 1, you are not required to study ECF-Compliance Module 1.
4	Late Application	A late entry fee of HK\$200 will apply for late training and examination enrolment respectively.
5	Training Material	# For Module 1 & Module 2, training material (i.e. Study Guide and PPT Slides) will be provided before the training commencement. Printed version will only be available at an additional cost of HKD600 (including delivery fee) on request by learners. # For Module 3 & Module 4, only a digital version of PPT Slides will be provided before the training commencement. Printed version will only be available at an additional cost of HKD600 (including delivery fee) on request by learners. In addition, learners have to purchase two reference books by their own as a part of the essential readings.
6	Fee Discount	HKIB student members can enjoy 25% off training fee discount and 50% off examination fee discount respectively.
	Others	HKIB reserves the right to cancel, change, and/or postpone the training and/or examination.

For General Enquiries / Feedback:

Address: 3/F Guangdong Investment Tower, 148 Connaught Road Central, Hong Kong (Sheung Wan MTR Station Exit C) Tel: (852) 2153 7800 Email: cs@hkib.org